Form AT-106

# Original Alcohol Beverage License Application

| FOR CLERKS ONLY |  |  |  |  |  |
|-----------------|--|--|--|--|--|
| Municipality    |  |  |  |  |  |
|                 |  |  |  |  |  |
| License Period  |  |  |  |  |  |

| License(s) Requested   |                                    |                                    |              |                        |                         |  |  |  |  |
|--|------------------------------------|------------------------------------|--------------|------------------------|-------------------------|--|--|--|--|
| ☐ Class "A" Beer \$  | n" Liquor \$                       |                                    | License Fees | \$                     |                         |  |  |  |  |
| ☐ Class "B" Beer \$  | ☐ "Class B" Liquor \$              |                                    |              | Publication Fee        | \$                      |  |  |  |  |
| ☐ "Class C" Wine \$  | ☐ "Class A" Liquor (Cider Only) \$ |                                    |              | Background Check       | \$                      |  |  |  |  |
| Reserve "Class B" Liquor \$  | ☐ "Class B                         | 3" (Wine Only) Winery \$           |              | Total Fees             | \$                      |  |  |  |  |
|  |                                    |                                    |              |                        |                         |  |  |  |  |
| Part A: Premises/Business Inform   | ation                              |                                    |              |                        |                         |  |  |  |  |
| Legal Business Name (registered entity name or individual's name if sole proprietorship)   |                                    |                                    |              |                        |                         |  |  |  |  |
| 2. Trade Name or DBA   |                                    |                                    |              |                        |                         |  |  |  |  |
| 3. Premises Address  |                                    |                                    |              |                        |                         |  |  |  |  |
| 4. County  | County 5. Municipality             |                                    |              | 6. Aldermanic District |                         |  |  |  |  |
| 7. Mailing Address (if different from premises address)  |                                    |                                    |              |                        |                         |  |  |  |  |
| 8. FEIN  |                                    | . Wisconsin Seller's Permit Number |              |                        |                         |  |  |  |  |
| 10. Premises Phone   |                                    | 11. Premises Email                 |              |                        |                         |  |  |  |  |
| 12. Entity Type (check one)  |                                    |                                    |              |                        |                         |  |  |  |  |
| ☐ Sole Proprietor ☐ Partnersh  | nip 🗌 Liı                          | mited Liability Company            | □ Со         | rporation N            | onprofit Organization   |  |  |  |  |
| Premises Description - Describe the including living quarters, if used, for beverages may be sold and stored O   | the sales, se                      | ervice, consumption, and/or        | r storage    | of alcohol beverag     | es and records. Alcohol |  |  |  |  |
| Part B: Questions  |                                    |                                    |              |                        |                         |  |  |  |  |
| Have the partners, agent, or sole proping the solutions.   | rietor satisfied                   | d the responsible beverage         | server tra   | aining requirement f   | or                      |  |  |  |  |
| this license period? Submit a copy of  | Responsible                        | Beverage Server Training (         | Course C     | ertificate             | Yes No                  |  |  |  |  |
| 2. Does the applicant business or its partners, officers, directors, managing members, or agent hold a direct or indirect interest in any alcohol beverage wholesaler or producer (e.g., brewer, brewpub, winery, distillery)? Yes No If yes, please explain using the space below. Attach additional sheets if necessary. |                                    |                                    |              |                        |                         |  |  |  |  |
|  |                                    |                                    |              |                        |                         |  |  |  |  |
|  |                                    |                                    |              |                        |                         |  |  |  |  |
|  |                                    |                                    |              |                        |                         |  |  |  |  |

| Part C: For Corporate/LLC Applicants Only   |  |   |   |   |  |  |  |  |  |
|---|--|---|---|---|--|--|--|--|--|
| 1. State of Registration  |  |   | 2. Date of Regi   |   |  | istration  |  |  |  |
| 3. Is the applicant business owned by another corporation or LLC? If yes, please provide the name and FEIN of the parent company below, include parent company members in Part D, and attach Form AT-103 for all of the parent  |  |   |   |   |  |  |  |  |  |
| company's principal members, managers, officers, or directors .  Name of Parent Company   |  |   | FEIN of Parent Company  |   |  |  |  |  |  |
| Does the parent company or any of its content in any other alcohol beverage of the second of th | wholesaler or  | producer  | (e.g., brewer   | , brewpul   |  |  |  |  |  |
| 5. Agent's Last Name  |  | Agent's First Name  |   |   |  | Phone  |  |  |  |
| Part D: Individual Information  |  |   |   |   |  |  |  |  |  |
| A Supplemental Questionnaire, Form AT-103, m any parent company as indicated in Part C. Per or nonprofit organization, all partners of a partner  | sons in the app  | licant busine   | ess include: so   | le propriet   | or, all officers, directo  | ors, and agent of a corporation  |  |  |  |
| List the full name, title, and phone number f   | ist the full name, title, and phone number for each person below. Atta   |   |   |   | tach additional sheets if necessary.   |  |  |  |  |
| Last Name   | First Name   |   |   | Title   |  | Phone  |  |  |  |
|   |  |   |   |   |  |  |  |  |  |
|   |  |   |   |   |  |  |  |  |  |
|   |  |   |   |   |  |  |  |  |  |
|   |  |   |   |   |  |  |  |  |  |
| Part E: Attestation   |  |   |   |   |  |  |  |  |  |
| Who must sign this application?   |  |   |   |   |  |  |  |  |  |
| • sole proprietor     • one general partr  READ CAREFULLY BEFORE SIGNING: Unthat I am acting solely on behalf of the application that the rights and responsibilities conferred this business according to the law, including black of access to any portion of a licensed preand grounds for revocation of this license. I ustate law. I further understand that I may be pany person who knowingly provides materially.   | der penalty of I<br>ant business are<br>by the license(sout not limited to<br>mises during in<br>inderstand that | aw, I have and not on be s), if granted o, purchasin aspection will any license submitting fa | chalf of any ot<br>d, will not be a<br>g alcohol beve<br>I be deemed a<br>issued contra<br>ilse statement | h of the ab<br>her individ<br>assigned to<br>erages from<br>a refusal to<br>ary to Wis.<br>as and affid | oove questions compual or entity seeking or another individual m state authorized work allow inspection. Su Stat. Chapter 125 stat. Chapter 100 volumes in connection volumes. | the license. Further, I agree or entity. I agree to operate holesalers. I understand that ach refusal is a misdemeanor hall be void under penalty of with this application, and that |  |  |  |
| Signature   |  |   |   | Date  |  |  |  |  |  |
| Name (Last, First, M.I.)  |  |   |   | 1   |  |  |  |  |  |
| Title Email   |  |   |   |   |  | Phone  |  |  |  |
| Part F: For Clerk Use Only  |  |   |   |   |  |  |  |  |  |
| Date application was filed with clerk   | Date reporte   | d to governi  | to governing body  Date provisional license issued (if applicable   |   |  | ense issued (if applicable)  |  |  |  |
| Date license granted  | License num  | License number  |   |   | Date license issued  |  |  |  |  |
| Signature of Clerk/Deputy Clerk   |  |   |   |   |  |  |  |  |  |

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# Form AT-106 Instructions

# Original Alcohol Beverage License Application

# Who needs an alcohol beverage license?

Any individual or entity that wants to sell alcohol beverages to consumers or allow consumption in a public place must get a retail alcohol beverage license.

# Who issues alcohol beverage licenses?

Municipal clerks of cities, villages, and towns issue alcohol beverage licenses after the governing body (city council, town or village board) grants the license.

# **Specific Instructions**

#### License Period:

Annual licenses expire June 30 each year except licenses issued by the City of Milwaukee. Annual licenses issued
by the City of Milwaukee may be issued at any time throughout the year and are valid for one year from the date of
issuance.

## License Requested and License Fees:

- Select the alcohol beverage license(s) you would like to apply for.
- Generally, you may apply for no more than two licenses for the same premises. Further, some license combinations are not acceptable, (e.g., "Class A" and a Class "B").
- For descriptions of each of the alcohol beverage licenses and their authorizations, see <u>Publication 302</u>, <u>Information for Wisconsin Alcohol Beverage and Tobacco Retailers</u>.
- License costs are determined by the municipality within a range set by state law. Ask your clerk how much the license, background check, and publishing fees in that municipality cost.
- License fees for licenses issued for less than 1 year must be prorated according to the number of months or fraction of months remaining in the licensing year.

### Part A: Premises/Business Information

- Enter the legal business name in box 1.
- Enter the trade name or "doing business as" name in box 2, if different than the name in box 1.
- All requests for "premises" information are requests for the physical location within the municipality and contact information to reach the business during open hours.
- Check one entity type in box 12 to indicate how the business is legally organized.
- Box 9: For questions about obtaining a seller's permit, see <u>Sellers Permit Common Questions</u>.
- Box 13: Describe the premises in detail. Include outdoor spaces if your municipality allows it. Some municipalities have specific requirements for outdoor spaces as a part of the licensed premises. Call your municipal clerk to learn more. Attach a floor plan if possible.

**Example:** The premises is located at 1234 Main St., Realtown, WI 12345 and includes only the first-floor bar room, dining room, kitchen, north storage room, and south office of the 5,000 square foot building.

## Part B: Questions

- Question 1: Wisconsin law requires all sole proprietors, partners, and agents of corporations and LLCs to successfully
  complete a Wisconsin approved responsible beverage server (RBS) training course within the past two years unless:
  - The applicant is renewing a license, or
  - Within the past two years:
    - a. The applicant held a manager's or operator's (bartender) license.
    - b. The applicant held or was the agent of a corporation or LLC that held any municipally-issued alcohol beverage license in Wisconsin.
- To learn about your responsibility to complete the responsible beverage server requirement, please review <u>Publication 302</u>, Information for Wisconsin Alcohol Beverage and Tobacco Retailers.

Question 2: Wisconsin law generally prohibits businesses and individuals from having an interest in more than one tier
of the alcohol beverage industry (production, wholesale, and retail). Some examples of prohibited interest restrictions
are described in Administrative Code (Tax 8.87, Wis. Adm. Code).

## Part C: For Corporate/LLC Applicants Only

- · Complete this section if you checked corporation or a limited liability company in box 12, Part A.
- Box 3: If the applicant is owned by another corporation or LLC, include information about the parent company's principal managing members, officers, or directors in Part C below, including the completion of Form AT-103.
- Box 4: Some examples of prohibited interest restrictions are described in Administrative Code (<u>Tax 8.87</u>, Wis. Adm. Code).
- Box 5: List the name and the phone number of your appointed agent. Include a Form AT-103 for that person and a Form AT-104 with your application.

**Note:** If the applicant business, parent company, or any managing members, directors, or officers of either entity hold a direct or indirect interest in an alcohol beverage producer or wholesaler, you may not be eligible to hold a retail alcohol beverage license. Before submitting this form, reach out to your municipal clerk or the Department of Revenue.

#### Part D: Individual Information

- Provide basic information for all persons involved in the retail alcohol beverage business who are owners, officers, directors, managing members, or the agent.
- Example titles: President, Treasurer, Director, Chief Financial Officer, Member, Partner, etc.
- Sole-proprietors, partners in a partnership, and the agent of an LLC or corporation must reside in Wisconsin.
- Include a Supplemental Questionnaire (Form AT-103) for each person listed in this section with the submission of this application.

#### Part E: Attestation

Read the attestation carefully, then sign and date.

# Part F: For Clerk Use Only

- "Date license granted" means the date the municipal governing body approved the license to be issued.
- "Date license issued" means the date the municipal clerk issued the license certificate document.

# **Completion and Submission of AT-106**

- Submit the completed application to the clerk of the municipality in which you are applying for a license.
- License applications must be filed with the municipal clerk at least 15 days before they can be approved by the governing body, except licenses issued by municipalities within Milwaukee County. Governing bodies of municipalities within Milwaukee County establish their own period that applications must be filed with the municipal clerk.
- In addition to Form AT-106, include:
  - Form AT-103 for the sole-proprietor; all officers, directors, and agent of a corporation or nonprofit organization; all partners of a partnership; all managing members and agent of a limited liability company
  - Form AT-104 for corporation, nonprofit organizations, and LLC applicants
  - License and publication fees as required by your municipality
  - Responsible beverage server training course completion certificate or other acceptable replacement document described in Part B, Question 1
  - · Proof the applicant holds a seller's permit, such as a copy of the seller's permit document
    - **Note:** See <u>Publication 206</u>, *Sales Tax Exemptions for Nonprofit Organizations*, for information on when a nonprofit organization may be exempt from holding a seller's permit.
  - All other information and documents required by your municipality

**NOTE:** You are required by federal law to register as an Alcohol Dealer with the federal Alcohol and Tobacco Tax and Trade Bureau (TTB) before beginning business. Use <u>Form TTB F 5630.5d</u> *Alcohol Dealer Registration* and return the form to the address listed on the instructions.

# **Open Records**

This application is an open record under state law (sec. 19.35, Wis. Stats.) and may be provided to the public. If this license is issued by your municipality, your municipality must report the license to the Wisconsin Department of Revenue. The department may publish a list of alcohol beverage licensees reported by municipalities. The department will not disclose personal information such as residential addresses, home phone numbers, social security numbers, age, birth date, and place of birth of individuals, including partners, officers, directors, members, managers, and agents of corporations or LLCs.

## **Assistance**

This form is designed by the Department of Revenue for use by municipal governments. If you require assistance with this form, consider reaching out to your local clerk for assistance with the following:

- · Submission of this application and associated forms
- · Availability and cost of certain licenses in a community

If you have questions about alcohol beverage laws and regulations, you may contact the Department of Revenue using the contact information below.

Website: DOR Alcohol Beverage (wi.gov)

Write: DORAlcoholTobaccoEnforcement@wisconsin.gov

Call: (608) 264-4573

# Resources Provided by the Department of Revenue

License frequently asked questions

Publication 302 DOR Alcohol Beverage Laws for Retailers Licenses

Publication 309 Retail Alcohol Beverage Licensing Guide for Municipalities

Fact Sheet 3101 Licenses for Retail Sale of Alcohol Beverages

Fact Sheet 3103 Licensed or Permitted Premises Description

Fact Sheet 3116 Reserve "Class B" Liquor Licenses

Fact Sheet 3118 "Class B" Liquor License Quotas